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Case No. 5200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/715,323
Applicant(s) : Yuandan Lou et al.
Filed : November 17, 2003
TC/A.U. : 2673
Examiner : To be assigned
For : **MANAGEMENT OF STORAGE
SPACE FOR AN EMBEDDED
DATABASE IN A SOFTWARE
SYSTEM**
Customer No. : 22896

Confirmation No.: 5097

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Sir:

Applicants submit herewith patents, publications or other information (listed on the attached Form PTO/SB/08A) of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office action on the merits.

- (d) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114.
- (e) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final action under §1.113, a notice of allowance under §1.311, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR §1.17(p) or a certification as specified in 37 CFR §1.97(e), as checked below. Should any fee be due, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**
- (f) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, but on or before the payment of the issue fee, and is accompanied by the fee (\$180) set forth in 37 CFR §1.17(i)(1) **and** a certification as specified in 37 CFR §1.97(e), as checked below. **This document is to be considered as a petition requesting consideration of the information disclosure statement.** The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

[If either of boxes (e) or (f) is checked above, the following "certification" under 37 CFR §1.97(e) may need to be completed.] The undersigned certifies that:

- ☐ Each item of information contained in the information disclosure statement was first cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

A copy of the items on PTO/SB/08A that are not United States Publications:

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[] are not supplied because they were previously cited by or submitted to the Office in prior application Serial No. _____, filed _____ and relied upon in this application for an earlier filing date under 35 USC §120.

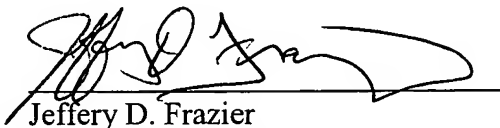
While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

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Respectfully submitted,

Date: _____

July 20, 2004



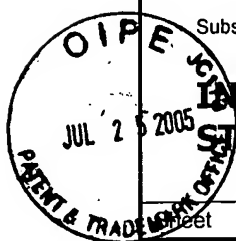
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/715,323
Filing Date	November 17, 2003
First Named Inventor	Yundan Lou et al.
Group Art Unit	2673
Examiner Name	To be assigned
Attorney Docket No.	5200

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	01	6,092,163		Kyler et al.	07-18-2000	
	02	6,538,668	B1	Lagueux, Jr.	03-25-2003	
	03	2002/0023156	A1	Chujo et al.	02-21-2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	01	EP	0 935 186	A1	NCR International, Inc.	08-11-1999		

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	01	Compaq Computer Corporation, "Chapter 6: Managing the Advanced File System with the AdvFS GUI," Tru64 Unix AdvFS Administration Online, pages 1-17, August 2000. URL: www.zdv.uni-mainz.de/cms-extern/DU_5.1/HTML/ARH96BTE/CHPTRSXX.HTM	
	02	"Hosting Microsoft SQL Databases," Featuredhost, Control Panel Guides, End User CP Guides, pages 1-4, 2002. URL: www.featuredhost.com/cpguide/mssql_support.html	
	03	ELLIS, Byron, "Macroarray: An Embedded Database for Microarray Analysis," Computer Science 265 Final Project, Department of Statistics, Harvard University, pages 1-14.	
	04	KING, Nelson, "One Size Doesn't Fit All," ComputerUser.com, pages 1-3, March 1, 2003. www.computeruser.com/articles/2203,1,3,1,0301,03.html	
	05	MCKENDRICK, Joseph, "Embedded Databases Drive New Computing Model," Database Trends and Applications, vol. 16, no. 7, July 2002.	

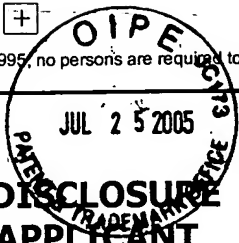
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Group Art Unit	2673		
		Examiner Name	To be assigned		
Sheet	2	of	2	Attorney Docket No.	5200

06	Oracle® Enterprise Manager Administrator's Guide, Release 9.0.1, Part no. A88767-02, July 2001.	
07	Oracle Enterprise Manager Database Management Feature Overview, 10 pages.	
08	Oracle9i Database Daily Feature, Oracle9i Database Configuration Assistant, pages 1-3, November 2003. http://otn.oracle.com/products/oracle9i/daily/sept12.html	
09	International Search Report from International Application no. PCT/US2004/038687 dated April 15, 2005.	

Examiner Signature		Date Considered	
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